



Kindly contact the Compliance Department (compliance@gottexbrokers.com) if you would like to receive the documents below:

Company Policies

1. Gottex Compliance policy
2. Gottex Risk policy
3. Gottex Governance policy
4. Gottex Sustainability policy
5. Gottex Conflicts of Interest Policy
6. Gottex Best Execution Policy
7. Gottex Ethical Guidelines
8. Gottex Anti Money Laundering Policy
9. Gottex Remuneration Policy
10. Gottex Whistleblowing Policy
11. Policy for financial reporting
12. Contingency Policy
13. Diversity Policy
14. Capital Disclosure

Company KYC package

1. Fatca W-8BEN-E
2. Terms of Business
3. Articles of Incorporation/Association
4. Wolfsberg questionnaire
5. Commercial certificate
6. Ownership structure
7. Financial statement